



IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kent, et al.

Group Art Unit: 2643

Serial No.: 10/755,537

Examiner: Bui, B.

Filed: January 12, 2004

Docket No.: 190250-1260

For: Intelligent Remote Caller ID

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☐ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
☒ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a credit card authorization, authorizing the amount of \$180.00. Please charge \$zero to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and

other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

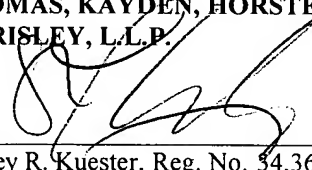
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

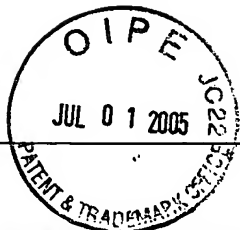
By:


Jeffrey R. Kuester, Reg. No. 34,367

**THOMAS KAYDEN,
HORSTEMEYER & RISLEY, LLP**
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948
Tel: 770-933-9500
Fax: 770-951-0933

Customer No.: **38823**

Form PTO-1449



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.

190250-1260

Serial No.

10/755,537

Applicant

Kent et al.

Filing Date

January 12, 2004

Group

2643

U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	Des. 338,889	08/31/93	Fuqua et al.	D14	240	
	B	Des. 383,466	09/09/97	Burrell et al.	D14	240	
	C	Des. 413,605	09/07/99	Thomas	D14	240	
	D	D-437,879	02/20/01	Weinandt	D18	50	
	E	D-494,953	08/24/04	Leung	D14	141.3	
	F	5,121,423	06/09/92	Morihiro	379	142	
	G	5,263,084	11/16/93	Caput et al.	379	215	
	H	5,265,145	11/23/93	Lim	379	88	
	I	5,274,699	12/28/93	Ranz	379	142	
	I	5,289,542	02/22/94	Kessler	380	9	
	K	5,315,650	05/24/94	Smith et al.	379	399	
	L	5,425,089	06/13/95	Chan et al.	379	183	
	M	5,452,346	09/19/95	Miyamoto	379	142	
	N	5,481,594	01/02/96	Shen et al.	379	67	
	O	5,530,741	06/25/96	Rubin	379	142	
	P	5,546,447	08/13/96	Skarbo et al.	379	142	
	Q	5,550,905	08/27/96	Silverman	379	142	
	R	5,619,561	04/08/97	Reese	379	142	
	S	5,644,629	07/01/97	Chow	379	142	
	T	5,696,815	12/09/97	Smyk	379	142	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

Form PTO-1449					Attorney Docket No. 190250-1260		Serial No. 10/755,537	
INFORMATION DISCLOSURE CITATION					Applicant Kent et al.			
<i>(Use several sheets if necessary)</i>					Filing Date January 12, 2004		Group 2643	
U.S. PATENT DOCUMENTS								
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	U	5,734,706	03/31/98	Windsor et al.	379	142		
	V	5,754,635	05/19/98	Kim	379	142		
	W	5,771,281	06/23/98	Batten, Jr.	379	93.23		
	X	5,771,283	06/23/98	Chang et al.	379	142		
	Y	5,781,621	07/14/98	Lim et al.	379	142		
	Z	5,796,806	08/18/98	Birckbichler	379	67		
	AA	5,802,251	09/01/98	Cohen et al.	395	2.84		
	AB	5,841,838	11/24/98	Itoh et al.	379	67		
	AC	5,859,903	01/12/99	Lee	379	157		
	AD	5,901,212	05/04/99	True et al.	379	215		
	AE	5,903,636	05/11/99	Malik	379	142		
	AF	5,905,794	05/18/99	Gunn et al.	379	382		
	AG	5,915,000	06/22/99	Nguyen et al.	379	52		
	AH	5,940,484	08/17/99	DeFazio et al.	379	142		
	AI	5,953,399	09/14/99	Farris et al.	379	201		
	AJ	5,969,647	10/19/99	Mou et al.	341	55		
	AK	5,970,127	10/19/99	Smith et al.	379	142		
	AL	5,970,128	10/19/99	Kim	379	142		
	AM	5,982,866	11/09/99	Kowalski	379	127		
	AN	6,021,188	02/01/00	Meg	379	142		
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)								
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<i>(Use several sheets if necessary)</i>				Filing Date January 12, 2004		Group 2643	

U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AO	6,044,148	03/28/00	Bleile	379	375	
	AP	6,061,434	05/09/00	Corbett	379	93.35	
	AQ	6,101,246	08/08/00	Heinmiller et al.	379	142	
	AR	6,108,630	08/22/00	Kuechler et al.	704	270	
	AS	6,111,939	08/29/00	Brabanec	379	142	
	AT	6,137,871	10/24/00	Maier et al.	379	142	
	AU	6,154,531	11/28/00	Clapper	379	142	
	AV	6,160,876	12/12/00	Moss et al.	379	142	
	AW	6,163,691	12/19/00	Buettner et al.	455	414	
	AX	6,173,049	01/09/01	Malik	379	207	
	AY	6,178,232	01/23/01	Latter et al.	379	88.21	
	AZ	6,219,407	04/17/01	Kanevsky et al.	379	88.02	
	BA	6,243,448	06/05/01	Corbett et al.	379	93.35	
	BB	6,243,461	06/05/01	Hwang	379	372	
	BC	6,266,399	07/24/01	Weller et al.	379	88.19	
	BD	6,282,275	08/28/01	Gurbani et al.	379	142	
	BE	6,292,479	09/18/01	Bartholomew et al.	370	352	
	BF	6,292,549	09/18/01	Lung et al.	379	142.01	
	BG	6,304,644	10/16/01	Karnowski	379	156	
	BH	6,310,943	10/30/01	Kowalski	379	127.03	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>		

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Form PTO-1449				Attorney Docket No. 190250-1260		Serial No. 10/755,537	
INFORMATION DISCLOSURE CITATION				Applicant Kent et al.			
<i>(Use several sheets if necessary)</i>				Filing Date January 12, 2004		Group 2643	

U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	BI	6,317,488	11/13/01	DePond et al.	379	93.35	
	BJ	6,324,271	11/27/01	Sawyer et al.	379	142.05	
	BK	6,332,021	12/18/01	Latter et al.	379	142.01	
	BL	6,339,639	01/15/02	Henderson	379	142.08	
	BM	6,341,161	01/22/02	Latter et al.	379	142.01	
	BN	6,345,187	02/05/02	Berthound et al.	455	462	
	BO	6,351,637	02/26/02	Lee	455	415	
	BP	6,366,772	04/02/02	Arnson	455	415	
	BQ	6,427,003	07/30/02	Corbett et al.	379	93.35	
	BR	6,442,262	08/27/02	Moss et al.	379	142.02	
	BS	6,445,781	09/03/02	Heinmiller et al.	379	142.01	
	BT	6,449,351	09/10/02	Moss et al.	379	142.09	
	BU	6,480,589	11/12/02	Lee et al.	379	142.04	
	BV	6,493,439	12/10/02	Lung et al.	379	142.01	
	BW	6,496,569	12/17/02	Pelletier et al.	379	88.21	
	BX	6,496,571	12/17/02	Wilson	379	93.23	
	BY	6,498,841	12/24/02	Bull et al.	379	142.08	
	BZ	6,529,591	03/04/03	Dosani et al.	379	106.03	
	CA	6,542,583	04/01/03	Taylor	379	88.02	
	CB	6,542,591	04/01/03	Amro et al.	379	142.01	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>	

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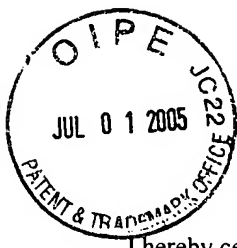
U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	CC	6,546,092	04/08/03	Corbett et al.	379	93.35	
	CD	6,553,110	04/22/03	Peng	379	210.03	
	CE	6,560,317	05/06/03	Quagliana	379	52	
	CF	6,570,971	05/27/03	Latter et al.	379	142.01	
	CG	6,574,319	06/03/03	Latter et al.	379	142.07	
	CH	6,631,181	10/07/03	Bates et al.	379	88.18	
	CI	6,639,979	10/28/03	Kim	379	142.06	
	CJ	6,650,743	11/18/03	Heinmiller et al.	379	142.01	
	CK	6,718,021	04/06/04	Crockett et al.	379	93.23	
	CL	6,728,355	04/27/04	Kowalski	379	142.06	
	CM	6,731,727	05/04/04	Corbett et al.	379	93.35	
	CN	6,748,058	06/08/04	Schwend et al.	379	88.21	
	CO	6,748,068	06/08/04	Walsh et al.	379	142.03	
	CP	6,766,003	07/20/04	Moss et al.	379	142.01	
	CQ	6,771,754	08/03/04	Pelletier et al.	379	142.01	
	CR	6,771,755	08/03/04	Simpson	379	142.04	
	CS	6,785,301	08/31/04	Chapman et al.	370	522	
	CT	6,785,368	08/31/04	Eason et al.	379	88.19	
	CU	6,807,267	10/19/04	Moss et al.	379	207.15	
	CV	6,810,115	10/26/04	Fukuda	379	142.01	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>							

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EXAMINER'S SIGNATURE:	DATE CONSIDERED:
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CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

on

6-29-05
[Signature]
Jeffrey R. Kuester

In Re Application of:

Kent, et al.

Serial No.: 10/755,537

Filed: January 12, 2004

Confirmation No.: 1334

Group Art Unit: 2643

Examiner: Bui, B.

Docket No.: 190250-1260

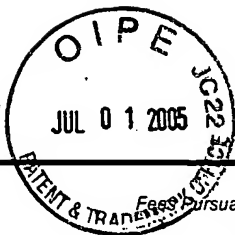
For: **Intelligent Remote Caller ID**

The following is a list of documents enclosed:

Return Postcard
Information Disclosure Statement
PTO 1449 (pages 1-6, citing references A-CZ)
Fee Transmittal
Credit Card Authorization - Authorizing \$180.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Customer No.: **38823**



Effective on 12/08/2004
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

Complete if Known

Application Number	10/755,537
Filing Date	January 12, 2004
First Named Inventor	Kent, et al.
Examiner Name	Bui, B.
Art Unit	2643
Attorney Docket No.	190250-1260

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☐ Deposit Account Deposit Account Number: **20-0778** Deposit Account Name: **Thomas, Kayden, Horstemeyer Risley, L.L.P.**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Filing Fees

Small Entity

Application Type	Fee (\$)	Small Entity Fee(\$)	Search Fees Fee (\$)	Small Entity Fee(\$)	Examination Fees Fee (\$)	Small Entity Fee(\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	0
Design	200	100	100	50	130	65	0
Plant	200	100	300	150	160	80	0
Reissue	300	150	500	250	600	300	0
Provisional	200	100	0	0	0	0	0

Search Fees

Small Entity

Examination Fees

Small Entity

2. EXCESSIVE CLAIM FEES

Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent
Multiple dependent claims

Small Entity Fee(\$)	Small Entity Fee(\$)
50	25
200	100
360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-20 or HP =		50	0

HP = highest number of total claims paid for, if great than 20

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-3 or HP =		200	0

HP = highest number of total claims paid for, if great than 20

<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
	360	0

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 USC 41(a)(1)(G) and 37 CFR 1.16(s)

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-100 =		(round up to a whole number) x	250 x	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: **IDS**

<u>Fee Paid (\$)</u>
0
180.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Jeffrey R. Kuester	Registration No.	34,367	Telephone Number	770-933-9500
Signature		Date	6-19-05		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2